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BOX 566

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICANT : JACKOWSKI et al.
INVENTION: : APOLIPOPROTEIN BIOPOLYMER MARKER
INDICATIVE OF NORMAL HUMAN
SERIAL NUMBER : 09/993,300
FILING DATE : November 23, 2001
EXAMINER: : (not assigned yet)
GROUP ART UNIT : 1741
ATTORNEY DOCKET NO. : 2132.107

TRANSMITTAL LETTER

CERTIFICATE UNDER 37 CFR 1.8(a)
I hereby certify that this correspondence is being
deposited with the U.S. Postal Service as First Class mail
in an envelope addressed to Commissioner for
Patents, Washington, D.C. 20231 on 3/28/02

Susan Hess

Box Sequence
U.S. Patent & Trademark Office
P.O. Box 2327
Arlington, VA 22202

Sir:

Please find enclosed for filing:

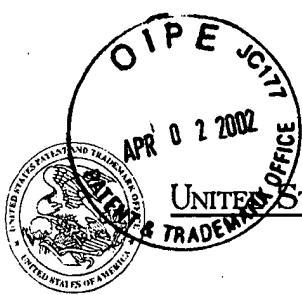
Preliminary Amendment
 Response to Notice to File Missing Parts
 Signed Declaration
 Computer Readable Form Sequence Listing (paper copy 1 sheet)
 Computer Readable Form Sequence Listing (diskette).
 Copy of Notice to File Missing Parts
 Information Disclosure Statement, PTO-1449, references
 Please charge Deposit Account No. 50-1803 in the amount of \$681.00 as indicated in the Response.
 Please charge any deficiencies or credit any overpayment to Deposit Account No. 50-1803. This Transmittal Letter is submitted in duplicate.

Date: 3/28/2002

Respectfully submitted,

By:

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,300	11/23/2001	George Jackowski	2132.107

CONFIRMATION NO. 5368

FORMALITIES LETTER



OC000000007470719

Michael A. Slavin, Esq.
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Suite 402
4440 PGA Boulevard
Palm Beach Gardens, FL 33410

Date Mailed: 02/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$246.

■ \$162 for 18 total claims over 20.	■ 04/03/2002 BSAYASI1 00000093 501803 09993300
■ \$84 for 2 independent claims over 3 .	01 FC:201 370.00 CH
■ 02 FC:202 84.00 CH	02 FC:203 162.00 CH
■ 03 FC:204 65.00 CH	04 FC:205 65.00 CH
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge, as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 681.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
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*A copy of this notice **MUST** be returned with the reply.*



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